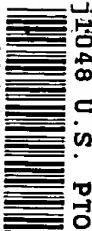


11/02/01



11048 U.S. PTO

12-06-01

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Practitioner's Docket No. 782_193

PATENT



NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on November 2, 2001 under "EXPRESS MAIL" mailing label number EL872580292US.

Elizabeth A. VanAntwerp
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Transmitted herewith for filing is the patent application of:

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Title: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 8 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 3 Sheets of Formal Drawings (Figs. 1A-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

10004345-110201

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and a certified copy of:
 Japanese Application 2000-347,735 filed November 15, 2000
☒ An Assignment Transmittal and Assignment of the invention to:
 NGK Insulators, Ltd.
 2-56, Suda-Cho, Mizuho-Ku
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3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
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Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

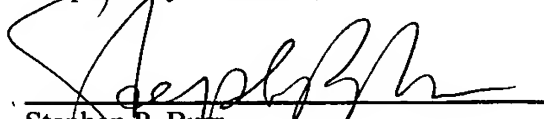
A. Filing Fee	
<input checked="" type="checkbox"/> Original Patent Application (37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)	\$ <u>740.00</u>
B. Fees for Claims (9 Claims; 2 Indep.)	
<input type="checkbox"/> each independent claim in excess of 3 (37 C.F.R. 1.16(b) --\$84.00; small entity--\$42.00)	\$ <u>.00</u>
<input type="checkbox"/> each claim in excess of 20 (37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)	\$ <u>.00</u>
<input type="checkbox"/> multiple dependent claim(s) (37 C.F.R. 1.16(d) --\$280.00; small entity--\$140.00)	\$ <u>.00</u>
C. Surcharge fees	
<input checked="" type="checkbox"/> late payment of filing fee (37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)	\$ <u>130.00</u>
and/or	
<input checked="" type="checkbox"/> late filing of original declaration or oath (37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)	
NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).	\$ <u>.00</u>
D. <input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>
Total Fees Due	\$ 910.00

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**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
☐ The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit Account No.
50-1446. A duplicate copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

November 2, 2001

Date

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